

Overview and Scrutiny Management Committee

Thursday 4 June 2020 at 2.00 pm

To be held as an online video conference

The Press and Public are Welcome to Attend

Membership

Councillors Mick Rooney (Chair), Ian Auckland, Steve Ayris, Ben Curran, Denise Fox, Julie Grocutt, Tim Huggan, Douglas Johnson, Mike Levery, Cate McDonald, Sioned-Mair Richards and Jim Steinke

Substitute Members

In accordance with the Constitution, Substitute Members may be provided for the above Committee Members as and when required.

PUBLIC ACCESS TO THE MEETING

The Overview and Scrutiny Management Committee comprises the Chairs and Deputy Chairs of the four Scrutiny Committees. Councillor Cate McDonald Chairs this Committee.

Remit of the Committee

- Effective use of internal and external resources
- Performance against Corporate Plan Priorities
- Risk management
- Budget monitoring
- Strategic management and development of the scrutiny programme and process
- Identifying and co-ordinating cross scrutiny issues

A copy of the agenda and reports is available on the Council's website at www.sheffield.gov.uk. You can also see the reports to be discussed at the meeting if you call at the First Point Reception, Town Hall, Pinstone Street entrance. The Reception is open between 9.00 am and 5.00 pm, Monday to Thursday and between 9.00 am and 4.45 pm. on Friday. You may not be allowed to see some reports because they contain confidential information. These items are usually marked * on the agenda.

Members of the public have the right to ask questions or submit petitions to Scrutiny Committee meetings and recording is allowed under the direction of the Chair. Please see the website or contact Democratic Services for further information regarding public questions and petitions and details of the Council's protocol on audio/visual recording and photography at council meetings.

Scrutiny Committee meetings are normally open to the public but sometimes the Committee may have to discuss an item in private. If this happens, you will be asked to leave. Any private items are normally left until last. If you would like to attend the meeting please report to the First Point Reception desk where you will be directed to the meeting room.

If you require any further information about this Scrutiny Committee, please contact Deborah Glen, Policy and Improvement Officer, on 0114 27 35065 or email deborah.glen@sheffield.gov.uk

FACILITIES

There are public toilets available, with wheelchair access, on the ground floor of the Town Hall. Induction loop facilities are available in meeting rooms.

Access for people with mobility difficulties can be obtained through the ramp on the side to the main Town Hall entrance.

**OVERVIEW AND SCRUTINY MANAGEMENT COMMITTEE AGENDA
4 JUNE 2020**

Order of Business

- 1. Welcome and Housekeeping Arrangements**
- 2. Apologies for Absence**
- 3. Exclusion of Public and Press**
To identify items where resolutions may be moved to exclude the press and public
- 4. Declarations of Interest**
Members to declare any interests they have in the business to be considered at the meeting
- 5. Minutes of Previous Meeting**
To approve the minutes of the meeting of the Committee held on 14th February, 2020
- 6. Public Questions and Petitions**
To receive any questions or petitions from members of the public
- 7. Call-in of the Leader's Decision on Month 11 Capital Approvals 2019/20 - Heart of the City 11 - Block A (Palatine Chambers)**
Report of the Policy and Improvement Officer
- 8. Overview and Scrutiny during Covid-19**
The Head of Policy and Partnerships to report
- 9. Date of Next Meeting**
The next meeting of the Committee will be held on a date to be arranged

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ADVICE TO MEMBERS ON DECLARING INTERESTS AT MEETINGS

If you are present at a meeting of the Council, of its executive or any committee of the executive, or of any committee, sub-committee, joint committee, or joint sub-committee of the authority, and you have a **Disclosable Pecuniary Interest (DPI)** relating to any business that will be considered at the meeting, you must not:

- participate in any discussion of the business at the meeting, or if you become aware of your Disclosable Pecuniary Interest during the meeting, participate further in any discussion of the business, or
- participate in any vote or further vote taken on the matter at the meeting.

These prohibitions apply to any form of participation, including speaking as a member of the public.

You **must**:

- leave the room (in accordance with the Members' Code of Conduct)
- make a verbal declaration of the existence and nature of any DPI at any meeting at which you are present at which an item of business which affects or relates to the subject matter of that interest is under consideration, at or before the consideration of the item of business or as soon as the interest becomes apparent.
- declare it to the meeting and notify the Council's Monitoring Officer within 28 days, if the DPI is not already registered.

If you have any of the following pecuniary interests, they are your **disclosable pecuniary interests** under the new national rules. You have a pecuniary interest if you, or your spouse or civil partner, have a pecuniary interest.

- Any employment, office, trade, profession or vocation carried on for profit or gain, which you, or your spouse or civil partner undertakes.
- Any payment or provision of any other financial benefit (other than from your council or authority) made or provided within the relevant period* in respect of any expenses incurred by you in carrying out duties as a member, or towards your election expenses. This includes any payment or financial benefit from a trade union within the meaning of the Trade Union and Labour Relations (Consolidation) Act 1992.

*The relevant period is the 12 months ending on the day when you tell the Monitoring Officer about your disclosable pecuniary interests.

- Any contract which is made between you, or your spouse or your civil partner (or a body in which you, or your spouse or your civil partner, has a beneficial interest) and your council or authority –
 - under which goods or services are to be provided or works are to be executed; and
 - which has not been fully discharged.

- Any beneficial interest in land which you, or your spouse or your civil partner, have and which is within the area of your council or authority.
- Any licence (alone or jointly with others) which you, or your spouse or your civil partner, holds to occupy land in the area of your council or authority for a month or longer.
- Any tenancy where (to your knowledge) –
 - the landlord is your council or authority; and
 - the tenant is a body in which you, or your spouse or your civil partner, has a beneficial interest.
- Any beneficial interest which you, or your spouse or your civil partner has in securities of a body where -
 - (a) that body (to your knowledge) has a place of business or land in the area of your council or authority; and
 - (b) either -
 - the total nominal value of the securities exceeds £25,000 or one hundredth of the total issued share capital of that body; or
 - if the share capital of that body is of more than one class, the total nominal value of the shares of any one class in which you, or your spouse or your civil partner, has a beneficial interest exceeds one hundredth of the total issued share capital of that class.

If you attend a meeting at which any item of business is to be considered and you are aware that you have a **personal interest** in the matter which does not amount to a DPI, you must make verbal declaration of the existence and nature of that interest at or before the consideration of the item of business or as soon as the interest becomes apparent. You should leave the room if your continued presence is incompatible with the 7 Principles of Public Life (selflessness; integrity; objectivity; accountability; openness; honesty; and leadership).

You have a personal interest where –

- a decision in relation to that business might reasonably be regarded as affecting the well-being or financial standing (including interests in land and easements over land) of you or a member of your family or a person or an organisation with whom you have a close association to a greater extent than it would affect the majority of the Council Tax payers, ratepayers or inhabitants of the ward or electoral area for which you have been elected or otherwise of the Authority's administrative area, or
- it relates to or is likely to affect any of the interests that are defined as DPIs but are in respect of a member of your family (other than a partner) or a person with whom you have a close association.

Guidance on declarations of interest, incorporating regulations published by the Government in relation to Disclosable Pecuniary Interests, has been circulated to you previously.

You should identify any potential interest you may have relating to business to be considered at the meeting. This will help you and anyone that you ask for advice to fully consider all the circumstances before deciding what action you should take.

In certain circumstances the Council may grant a **dispensation** to permit a Member to take part in the business of the Authority even if the member has a Disclosable Pecuniary Interest relating to that business.

To obtain a dispensation, you must write to the Monitoring Officer at least 48 hours before the meeting in question, explaining why a dispensation is sought and desirable, and specifying the period of time for which it is sought. The Monitoring Officer may consult with the Independent Person or the Council's Audit and Standards Committee in relation to a request for dispensation.

Further advice can be obtained from Gillian Duckworth, Director of Legal and Governance on 0114 2734018 or email gillian.duckworth@sheffield.gov.uk.

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Overview and Scrutiny Management Committee

Meeting held 14 February 2020

PRESENT: Councillors Mick Rooney (Chair), Ian Auckland, Steve Ayriss, Ben Curran, Denise Fox, Julie Grocutt, Tim Huggan, Douglas Johnson, Mike Levery, Cate McDonald and Jim Steinke

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1. APOLOGIES FOR ABSENCE

1.1 An apology for absence was received from Councillor Sioned-Mair Richards.

2. EXCLUSION OF PUBLIC AND PRESS

2.1 No items were identified where resolutions may be moved to exclude the public and press.

3. DECLARATIONS OF INTEREST

3.1 There were no declarations of interest.

4. MINUTES OF PREVIOUS MEETINGS

4.1 14th November 2019

4.1.1 The minutes of the meeting of the Committee held on 14th November 2019, were approved as a correct record and, arising therefrom:-

(a) the Chair reported that he would be meeting Councillor Mark Jones (Cabinet Member for Environment, Street Scene and Climate Change) the following week to discuss plans in connection with the proposed establishment of a Citizens' Assembly to look at climate change; and

(b) the Policy and Improvement Officer (Deborah Glen) stated that (i) she had spoken to Louise Brewins (Head of Performance and Intelligence) in connection with the request from the Committee at its meeting held on 19th September 2019, and who had indicated that this would be done, and Ms Glen agreed to follow this up again, and circulate the information to Members, (ii) she would chase up colleagues in the Ethical Procurement Team to request information as to whether the ethical performance outputs were included on the Corporate Performance Framework, and circulate such information to Members; and (iii) she would chase up the information requested of the Executive Director, Resources, in connection with ethical procurement, and circulate such information to Members.

4.2 26th November 2019 (Special)

The minutes of the special meeting of the Committee held on 26th November 2019,

were approved as a correct record, subject to the amendment of Item 1 – Apologies for Absence, by the addition of Councillor Ben Curran to the list.

4.3 28th November 2019 (Special)

4.3.1 The minutes of the special meeting of the Committee held on 28th November 2019, were approved as a correct record, subject to the amendment of Item 1 – Apologies for Absence, by the addition of Councillor Ben Curran to the list and, arising therefrom, Councillor Jim Steinke reported that, further to the attendance of the Sheffield Young Advisers and Sheffield Youth Cabinet at the meeting, he, Councillor Mike Chaplin and Deborah Glen had attended a recent meeting of the Sheffield Youth Cabinet, at which positive feedback was received in terms of their attendance at this Committee's meeting on 28th November, 2019. Councillor Steinke reported briefly on the proceedings of the Sheffield Youth Cabinet meeting, at which a number of specific issues had been raised and discussed, and at which, the young people had expressed a wish to attend future meetings of the Council's Scrutiny Committees. He added that he would be discussing the production of some recommendations with the Policy and Improvement Officer, which would be submitted to a meeting of the Sheffield Youth Cabinet around March 2020, as well as to the Council's Scrutiny Committees around the same time.

4.3.2 The Chair welcomed the comments now raised by Councillor Steinke, indicating that Members needed to use this as a platform for continuing the joint working between the Council and the Sheffield Youth Cabinet.

4.4 3rd December 2019 (Special)

The minutes of the special meeting of the Committee held on 3rd December 2019, were approved as a correct record, subject to the amendment of Item 1 – Apologies for Absence, by the addition of Councillor Ben Curran to the list.

4.5 18th December 2019 (Special)

The minutes of the special meeting of the Committee held on 18th December 2019, were approved as a correct record.

5. PUBLIC QUESTIONS AND PETITIONS

5.1 There were no questions raised or petitions submitted by members of the public.

6. REVENUE BUDGET 2020/21 AND CAPITAL STRATEGY 2020 TO 2025

6.1 The Committee received a report of the Executive Director, Resources, attaching the Revenue Budget 2020/21 and the Capital Strategy 2020 to 2025, which were to be considered at the Cabinet at its meeting to be held on 19th February 2020.

6.2 In attendance for this item were Councillor Terry Fox (Cabinet Member for Finance, Resources and Governance), Dave Phillips (Head of Strategic Finance), Ryan Keyworth (Director of Finance and Commercial Services), Phil Moorcroft (Senior Category Manager) and Mick Crofts and John Doyle (Directors of Business

Strategy, for the Place and People Services Portfolios, respectively).

6.3 Councillor Terry Fox extended his thanks to officers and the former Cabinet Member for the excellent work undertaken in connection with achieving a balanced budget for 2020/21, particularly with regard to the difficulties faced following the General Election and Brexit. He added that particular credit was due in terms of the ability to identify adequate funding for social care, despite the Government cuts in this area. Councillor Fox referred to the Big City Conversation, a consultation exercise, where local residents had been asked what they saw as priorities for the City, and which had resulted in additional investment in Council housing, green spaces and action to address climate emergency issues, such as providing infrastructure for charging points for electric vehicles and the roll out of the City Centre Clean Air Zone. As part of the 'Love Where You Live' initiative, the Authority was looking to increase investment in development schemes, such as Heart of the City 2, as well as providing statutory services. He reported that other positives had seen an increase in income for the City in terms of business rates. In response to a question from the Chair, Councillor Fox stated that he accepted that there was a need to place more emphasis on publicising the initiatives and projects the Council was involved in, and undertaking, with regard to climate change.

6.4 Revenue Budget 2020/21

6.4.1 Dave Phillips introduced the report, providing a summary of the key messages set out in the budget. Mr Phillips made reference to the previous 10 years of austerity and stated that the Government's spending review in 2019 was the first positive review in 10 years, and this had helped to reduce the gap between the Council's budget pressures and its resources. Mr Phillips stated that whilst the Council's budget position for 2020/21 was therefore more positive, there was a considerable level of uncertainty after that date, although mid-case forecasts were that the Council had adequate reserves over the period to 2023/24.

6.4.2 Members of the Committee raised questions, and the following responses were provided:-

- It was accepted that further expected staff redundancies could have an adverse effect on the morale and general wellbeing of those members of staff still working for the Authority. Efforts were being made to restart former staff development programmes and relevant training programmes in order to assist those members of staff struggling in this regard. The redundancies would however, provide the Council with a level of stability, as well as the ability to absorb some of the losses suffered over the years.
- It was expected that approximately £278,000 would be raised in terms of Council Tax receipts by encouraging owners of long-term empty dwellings to bring them back into use, which equated to approximately 250 to 300 properties.
- With regard to corporate risks, and specifically to the reference to the Council maintaining a substantial, but manageable under-borrowed position, the words under borrowing was a technical term, and occurred when the Council

has used its cash balances to cash-flow capital spend, rather than borrow externally. If the Council simply continued to borrow, the result would be higher cash balances, which would earn less interest than the interest payments necessitated by the borrowing. Consequently, the Council tried to use its internal cash balances where prudent to do so, resulting in a technical 'under-borrowing' position. It was recognised that this was a judgement call, and the Council was ready to switch to taking more longer-term borrowing as interest rates looked like they were likely to rise (as the Council would then wish to take advantage of the historically low long-term rates), and 'lock-in' these rates. It was, of course, difficult to predict the growth of interest rates, so this issue was flagged as a corporate risk in case there was a rise in interest rates.

- The increases in Small Business Rates Relief in 2017/18 and the introduction of the Retail Relief Scheme for 2019/20 had significantly reduced the level of Net Business Rates income. The Council, however, received Government compensation for this through Section 31 grants.
- The Council would often lose income from business rates as a result of inaccurate assessments made by the Government, as indicated by the number of appeals which were not upheld. The Council had therefore approached the Local Valuation Office and the Chamber of Commerce to discuss different ways of doing this.
- The Council was not permitted to borrow to fund revenue expenditure, only to fund capital projects. These projects should result in benefits over the long-term.
- There was always a risk that, under the Government spending review, Sheffield would receive less funding than anticipated. Current indications showed that funding allocations for local authorities in 2020/21 would represent an increase on the previous year overall. The bigger risk for the Council was how this allocation was to be divided up. Current assumptions were that a cash-neutral allocation represented a reasonably prudent planning scenario, recognising this had the potential to impact adversely on the focus on Adult Social Care. Regardless, the Council had sufficient reserves under the main planning scenarios for the next few years if required.
- There had been a recent transfer of £2.6 million of funding from the Sheffield Clinical Commissioning Group to the Council, in recognition of the Council's requirements with regard to health. Whilst there had been progress made in terms of improved joint working between the two organisations, which had comprised a considerable level of work, it was considered that the funding received by the Council still did not fully reflect its responsibilities and requirements. One of the remaining challenges was to focus on Sheffield issues, as opposed to regional issues.
- Whilst there were no guarantees, all indications were currently pointing towards the Government allocating capital funding, as opposed to revenue funding, in the North, through the Towns Fund.

- This was the first year in many when the Government, as part of its spending review, had recognised the in-year pressures faced by the Council with regard to adult social care, and the budget allocation had reflected this. Around six months ago, the Council was confident that it had a baseline budget for 2020/21, but the spending review due summer 2020 adds a level of uncertainty. It was hoped that the new Chancellor would be aware of the Council's financial requirements.
- Details on the review of the Voluntary, Community and Faith (VCF) Sector, and whether the wider picture of engagement between the Council and the VCF Sector, not just in terms of grant aid to the nine organisations concerned, would be forwarded to Members.
- Whilst the Council had been provided with an indicative figure in September 2019, in terms of the Government's funding allocation for public health spend, this figure had now been amended due to pension cost allocations.
- The future cost reduction plans in respect of the Streets Ahead contract would not result in a reduction in gritting. The Council continued to work with Amey in connection with making improvements to the contract.
- Any budget reductions that were likely to have an adverse effect on service delivery, following a reduction in the level of support to Sports Trusts, would have involved the undertaking of an Equality Impact Assessment (EIA). Copies of the EIA would be forwarded to Members.
- There had been a moderate increase in the number of businesses in the City, which had partly been helped by Heart of the City II and the introduction of the Business Enterprise Zones.

6.5 Capital Strategy 2020 to 2025

6.5.1 Phil Moorcroft (Senior Category Manager) introduced the Capital Strategy 2020 to 2025, referring to the strategic priorities for 2020/21, together with the longer-term priorities up to 2025.

6.5.2 Members of the Committee raised questions, and the following responses were provided:-

- Officers were currently considering the terms of the £50 million electric bus trial and whether the Council met the criteria to submit a bid for this. Further funding opportunities would also present through Sheffield City Region, and the Council would continue to lobby hard to ensure it received a fair proportion of the funding.
- The Council was looking at the issue with regard to increasing its housing stock, which had included a pilot regarding modern methods of construction, including consideration of air-source heat pumps, as part of its plans to scope how the Council could move away from gas heated properties.

- There were comprehensive governance arrangements in place in order to mitigate against any slippages in the Capital Programme. These included programme groups and business cases and project mandates, whereby Members and officers would undertake strict monitoring of projects to ensure that they had been financed and procured in the correct manner. Current governance arrangements were considerably stronger and more effective than they had been in the past.
- In terms of the priority areas, the £1.2 million under Green and Open Spaces was in addition to the £72.4 million under Love Where You Live. The focus of the allocation under Green and Open Spaces was on existing sites, where the funding would be used to upgrade and repair facilities in the City's green and open spaces. The funding would also be used towards upgrading other facilities, such as toilets, which would hopefully help attract more visitors.
- It was accepted that there were issues, together with a high level of frustration, in connection with improving transport infrastructure in the City, predominantly with regard to the bus network, only to see the bus companies reduce the frequency of, or withdraw, bus services. Councillor Bob Johnson (Cabinet Member for Transport and Development) was planning to lobby the Mayor of the Sheffield City Region on this issue.
- The Council always aimed, wherever possible, to use its capital funding allocation, as with its revenue allocation, to achieve its policy targets. Such targets included apprenticeships, work experience, school visits and other 'employment and skills' outcomes, where a considerable amount of work was being undertaken and, which had resulted in a major increase in the number of apprenticeships delivered as a result of the capital programme over the last ten years. Officers had also worked closely with local suppliers to ensure the tender documentation in connection with bidding for contracts was easy to complete. In addition, the Council's Contracts Standing Orders had been amended, enabling the Council to approach local suppliers directly in connection with contracts up to £500,000. Another initiative - Building Blocks, involved residents from the Manor area of the City being able to obtain a Construction Skills Certification Scheme Card to work on construction sites, and which had a 75-80% employment rate.

6.6 RESOLVED: That the Committee:-

- (a) notes the contents of the report of the Executive Director, Resources, now submitted, on the Revenue Budget 2020/21 and Capital Strategy 2020 to 2025, together with the comments now made and the responses provided to the questions raised; and
- (b) recommends that the report of the Executive Director, Resources, on the Revenue Budget 2020/21 and Capital Strategy 2020 to 2025 be approved by the Cabinet without amendment.

7. SCRUTINY PROGRESS REPORT 2018/19 TO 2019/20 AND SUGGESTIONS

FOR THE WORK PROGRAMME 2020/21

- 7.1 The Committee received a report of the Policy and Improvement Officer (Deborah Glen) containing a summary of the Committee's activities during 2018/19 and 2019/20, together with a list of suggested topics for the Committee's Work Programme for 2020/21.
- 7.2 Members, whilst agreeing it was difficult for them to determine which topics should be included on the Work Programme for 2020/21, on the basis that some Members may not be appointed to either the Committee or the Council, in May 2020, suggested that Governance Review and Climate Change be added as topics to the list.
- 7.3 RESOLVED: That the Committee:-
- (a) notes the contents of the report now submitted; and
 - (b) approves the contents of the Work Programme for 2020/21, taking into consideration the comments now made.

8. ISSUES TO RAISE FROM SCRUTINY COMMITTEES

- 8.1 Councillor Mick Rooney reported that the Children, Young People and Family Support Scrutiny and Policy Development Committee had considered the Sheffield Inclusion Strategy 2020 to 2025, in response to the local area Special Educational Needs and Disabilities inspection, at its last meeting held on 3rd February 2020, and which had been generally accepted by Members.
- 8.2 Councillor Denise Fox reported that the Economic and Environmental Wellbeing Scrutiny and Policy Development Committee had received an update on City Centre Growth and Development at its meeting held on 14th January 2020, which had been very positive.
- 8.3 Councillor Cate McDonald reported that the Healthier Communities and Adult Social Care Scrutiny and Policy Development Committee had received updates on the Neighbourhood and Primary Care Network and Locality Social Care and South East Neighbourhood Working, at its last meeting held on 15th January 2020.
- 8.4 Councillor Ben Curran reported that the Safer and Stronger Communities Scrutiny and Policy Development Committee had received reports on Hate Crime and Selective Licensing at its last meeting, held on 13th January 2020.
- 8.5 The Committee noted the information reported.

9. DATE OF NEXT MEETING

- 9.1 It was noted that the next meeting of the Committee would be held on Thursday, 16th April 2020, at 1.30 pm, in the Town Hall.

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Report to Overview & Scrutiny and Management Committee Thursday 4th June 2020

Subject: Call-in of decision - “Month 11 Capital Approvals 2019/20 – Heart of the City II – Block A, Palatine Chambers”

Author of Report: Deborah Glen, Policy & Improvement Officer
deborah.glen@sheffield.gov.uk

Type of item: The report author should tick the appropriate box

Reviewing of existing policy	
Informing the development of new policy	
Statutory consultation	
Performance / budget monitoring report	
Cabinet request for scrutiny	
Full Council request for scrutiny	
Call-in of Key Decision	X
Briefing paper for the Scrutiny Committee	
Other	

1.0 Background

1.1 The following decision was published on the 16th April 2020:

That the Leader:-

(a) approves the proposed additions and variations to the Capital Programme listed in Appendix 1 of the report, including the procurement strategies, and delegates authority to the Director of Finance and Commercial Services or nominated Officer, as appropriate, to award the necessary contract; and

(b) approves the making of grants to third parties, as detailed in Appendix 2 of the report.

1.2 As per Part 4, section 16 of Sheffield City Council’s Constitution, this decision has been called in, preventing implementation of the decision until it has been considered by this Scrutiny Committee.

The call-in relates specifically to the Heart of the City II - Block A Palatine Chambers Scheme, which is detailed at section I of Appendix 1 of the

decision making report. The call-in does not affect the other capital schemes approved within the Leader's decision.

The Call-in notice is attached to this report as appendix 1, and states that the reason for the call-in is:

"To review the size & timing of the investment for HOC2 (Block A) in light of current economic circumstances, together with the associated project risks"

- 1.3 The original report to the Leader is attached as appendix 3, and the decision record is attached at appendix 2.

2.0 The Scrutiny Committee is being asked to:

- 2.1 As per the Scrutiny Procedure rules, scrutinise the decision and take one of the following courses of action:

- (a) refer the decision back to the decision making body or individual for reconsideration in the light of recommendations from the Committee;
- (b) request that the decision be deferred until the Scrutiny Committee has considered relevant issues and made recommendations to the Executive;
- (c) take no action in relation to the called-in decision but consider whether issues arising from the call-in need to be fed back to the decision maker or added to the work programme of an existing Scrutiny Committee;
- (d) if, but only if (having taken the advice of the Monitoring Officer and/or the Chief Finance Officer), the Committee determines that the decision is wholly or partly outside the Budget and Policy Framework, refer the matter, with any recommendations, to the Council after following the procedures in the Budget and Policy Framework Procedure Rules

(If a Scrutiny Committee decides on (a), (b) or (d) as its course of action, there is a continuing bar on implementing the decision).

- 2.2 The Scrutiny Procedure rules state that if a decision is referred back, it is referred back to the individual or body that made the decision. In this case the decision maker is the Leader of the Council.

Background Papers

- Appendix 1 - Call in notice dated 21st April 2020.
- Appendix 2 - Printed decision record
- Appendix 3 – Report to the Leader – Month 11 Capital Approvals 2019/20.

SHEFFIELD CITY COUNCIL

CALL-IN PROCESS FOR EXECUTIVE DECISIONS

I, Councillor Martin Smith, under the provision of Scrutiny Procedure Rule 16, wish to call-in the decision made by the Leader of the Council on 16th April 2020, on Month 11 Capital Approvals 2019/20 in so far as it relates to section I of Appendix 1 (Heart of the City II), for consideration by the Overview and Scrutiny Management Committee.

Reason for Call-In

To review the size & timing of the investment for HOC2 (Block A) in light of current economic circumstances, together with the associated project risks.

Signed Martin Smith (email confirmation) Date 21 April 2020

I have obtained the following signatures of the other Members who wish to call-in this item:-

	Name (in Block Capitals)	Signature
1.	<u>Councillor Ian Auckland</u>	<u>(confirmation via email on 21/4)</u>
2.	<u>Councillor Steve Ayris</u>	<u>(confirmation via email on 21/4)</u>
3.	<u>Councillor Mike Levery</u>	<u>(confirmation via email on 21/4)</u>
4.	<u>Councillor Tim Huggan</u>	<u>(confirmation via email on 21/4)</u>

(NOTE: Scrutiny Procedure Rule 16 requires five Members, including two from the appropriate Scrutiny Committee to ‘call-in’ an Executive decision for scrutiny. This can be done **up to 4 working days after the decision publication.**

The five signatures required for the call-in process must be submitted by the deadline date, but need not all be on one form.

**Completed forms to be returned to the
Head of Democratic Services (Room G13/14, Town Hall),
by the deadline referred to above.**

**The request will be logged and forwarded to Policy and Improvement Team
for action.**

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SHEFFIELD CITY COUNCIL

EXECUTIVE LEADER DECISION RECORD

The following decision was taken on 16 April 2020 by the Leader of the Council.

Date notified to all Members: Thursday 16 April 2020

The end of the call-in period is 4:00 pm on Wednesday 22 April 2020

Unless called-in, the decision can be implemented from Thursday 23 April 2020

1. **TITLE**

Month 11 Capital Approvals 2019/20

2. **DECISION TAKEN**

That the Leader:-

(a) approves the proposed additions and variations to the Capital Programme listed in Appendix 1 of the report, including the procurement strategies, and delegates authority to the Director of Finance and Commercial Services or nominated Officer, as appropriate, to award the necessary contract; and

(b) approves the making of grants to third parties, as detailed in Appendix 2 of the report.

3. **Reasons For Decision**

1. The proposed changes to the Capital Programme will improve the services to the people of Sheffield.
2. To formally record changes to the Capital Programme and gain Member approval for changes in line with Financial Regulations and to reset the Capital Programme in line with latest information.
3. Obtain the relevant delegations to allow projects to proceed.

4. **Alternatives Considered And Rejected**

A number of alternative courses of action are considered as part of the process undertaken by Officers before decisions are recommended to Members. The recommendations made to Members represent what Officers believe to be the best options available to the Council, in line with Council priorities, given the constraints on funding and the use to which funding is put within the Revenue Budget and the Capital Programme.

5. **Any Interest Declared or Dispensation Granted**
None
6. **Respective Director Responsible for Implementation**
Executive Director, Resources
7. **Relevant Scrutiny Committee If Decision Called In**
Overview and Scrutiny Management Committee



Author/Lead Officer of Report:
Damian Watkinson,
Finance Manager

Tel: 0114 273 6831

Report of: *Eugene Walker*
Report to: *Leader of the Council*
Date of Decision: *Not before 16th April 2020*
Subject: *Capital Approvals for Month 11 2019/20*

Is this a Key Decision? If Yes, reason Key Decision:- Yes No
- Expenditure and/or savings over £500,000
- Affects 2 or more Wards

Which Cabinet Member Portfolio does this relate to? ***Finance and Resources***

Which Scrutiny and Policy Development Committee does this relate to?
Overview and Scrutiny Management Committee

Has an Equality Impact Assessment (EIA) been undertaken? Yes No

If YES, what EIA reference number has it been given? *(Insert reference number)*

Does the report contain confidential or exempt information? Yes No

If YES, give details as to whether the exemption applies to the full report / part of the report and/or appendices and complete below:-

“The (report/appendix) is not for publication because it contains exempt information under Paragraph (insert relevant paragraph number) of Schedule 12A of the Local Government Act 1972 (as amended).”

Purpose of Report:

This report provides details of proposed changes to the Capital Programme as brought forward in Month 11 2019/20.

Recommendations:

The Leader is recommended to:

- Approve the proposed additions and variations to the Capital Programme listed in Appendix 1, including the procurement strategies and delegate authority to the Director of Finance and Commercial Services or nominated Officer, as appropriate, to award the necessary contract
- Approve the making of grants to 3rd Parties as detailed at Appendix 2

**Background Papers:
Appendix 1, Appendix 2**

Lead Officer to complete:-	
1	I have consulted the relevant departments in respect of any relevant implications indicated on the Statutory and Council Policy Checklist, and comments have been incorporated / additional forms completed / EIA completed, where required.
	Finance: <i>Tim Hardie</i>
	Legal: <i>Sarah Bennett</i>
	Equalities: No
<i>Legal, financial/commercial and equalities implications must be included within the report and the name of the officer consulted must be included above.</i>	
2	EMT member who approved submission: <i>Eugene Walker</i>
3	Cabinet Member consulted: <i>Councillor Terry Fox Cabinet member for Finance and Resources</i>
4	I confirm that all necessary approval has been obtained in respect of the implications indicated on the Statutory and Council Policy Checklist and that the report has been approved for submission to the Decision Maker by the EMT member indicated at 2. In addition, any additional forms have been completed and signed off as required at 1.
	Lead Officer Name: <i>Damian Watkinson</i>
	Job Title: <i>Finance Manager Business partner Capital</i>

MONTH 11 2019/20 CAPITAL APPROVALS

1. SUMMARY

1.1 A number of schemes have been submitted for approval in line with the Council's capital approval process during the Month 11 reporting cycle. This report requests the relevant approvals and delegations to allow these schemes to progress.

1.2 Below is a summary of the number and total value of schemes in each approval category:

10 additions of specific projects to the capital programme creating a net increase of £47.8m;

- 8 variations creating a net increase of £3.7m;

1.3 Further details of the schemes listed above can be found in Appendix 1.

2. WHAT DOES THIS MEAN FOR SHEFFIELD PEOPLE

2.1 The proposed changes to the Capital programme will improve the recreational leisure facilities, schools, roads and homes used by the people of Sheffield, and improve the infrastructure of the city council to deliver those services.

3. BACKGROUND

This report is part of the monthly reporting procedure to Members on proposed changes to the Council's capital programme.

4. OUTCOME AND SUSTAINABILITY

4.1 By delivering these schemes the Council seeks to improve the quality of life for the people of Sheffield.

5. OTHER IMPLICATIONS

5.1 Finance Implications

The primary purpose of this report is to provide Members with information on the proposed changes to the City Council's Capital Programme further details on each scheme are included in Appendix 1 in relation to schemes to be delivered and Appendix 2 in relation to grants to be issued.

5.2 Procurement and Contract Award Implications

This report will commit the Council to a series of future contracts. The procurement strategy for each project is set out in Appendix 1. The award of the subsequent contracts will be delegated to the Director of Financial and Commercial Services.

5.3 Legal Implications

Any specific legal implications in this report are set out in Appendix 1 and Appendix 2 in relation to grants to be issued

5.4 Human Resource Implications

There are no direct Human Resource implications for the Council.

5.5 Property Implications

Any specific property implications from the proposals in this report are set out at Appendix 1.

6. ALTERNATIVE OPTIONS CONSIDERED

- 6.1 A number of alternative courses of action are considered as part of the process undertaken by Officers before decisions are recommended to Members. The recommendations made to Members represent what Officers believe to be the best options available to the Council, in line with Council priorities, given the constraints on funding and the use to which funding is put within the Revenue Budget and the Capital Programme.

7. REASONS FOR RECOMMENDATIONS

- 7.1 The proposed changes to the Capital programme will improve the services to the people of Sheffield
- 7.2 To formally record changes to the Capital Programme and gain Member approval for changes in line with Financial Regulations and to reset the capital programme in line with latest information.
- 7.3 Obtain the relevant delegations to allow projects to proceed.

Finance & Commercial Services | Commercial Business Development

March 2020